Separate Account Exchange

Customized Solutions for Your High-Net-Worth Clients

Open Architecture, Flexibility and Choice

Today's affluent investors are looking for innovative, tax-efficient and specialized solutions to meet their investment planning needs. Give them what they want with TD Ameritrade Institutional's Separate Account Exchange—an open-architecture, managed account platform that enables you to offer a wide array of separately-managed account solutions.

With Separate Account Exchange, you will have the tools you need to deliver institutional-caliber investment management services by leveraging third-party investment managers covering all asset classes, market capitalizations and investment styles. You will also gain flexibility in how you design your clients' portfolios by selecting from a comprehensive suite of investment managers and strategies.

Additionally, the program's flexibility enables you to manage it in whatever way suits your clients' needs and your business model. You will be able to conduct your own due diligence and directly negotiate fees, contract details, billing arrangements and more.

> Give today's affluent investors what they want with our open-architecture managed account platform.

OVERVIEW:

Separate Account Exchange offers an innovative and specialized managed account platform.

- **Open-architecture:** Leverage an unbundled managed account platform to customize investment solutions for your clients.
- **Choice:** Access more than 225 third-party managers and 900 strategies that cover all major asset classes, market capitalizations and investment styles.
- **Tailored solutions:** Negotiate manager fees and billing details and conduct due diligence directly.
- **Pricing flexibility:** Choose from asset-based or transaction-based pricing.
- **Low minimums:** Access managers with minimums as low as $50,000.
- **Service and support:** Implement solutions, access educational materials, and streamline account set-up and paperwork.

TD Ameritrade Institutional

Grow and Enhance Your Business with Separate Account Exchange

By integrating managed account solutions into your wealth management offering, you will position yourself to compete with some of the larger firms on Wall Street. With the time-saving expertise of professional money managers in your arsenal, you'll be able to spend more time managing client relationships and growing your business while still offering customized investment solutions.

TD Ameritrade Institutional's Separate Account Exchange makes it easy to simplify your marketing and business development efforts by providing access to WealthIQ from Informa Investment Solutions, a leading third-party provider of investment analytics and marketing services for advisors. WealthIQ is an innovative, managed account proposal-generation, portfolio design and research tool integrated directly into Veo®, TD Ameritrade Institutional's comprehensive advisor technology platform.

Efficient and customizable, WealthIQ allows you to develop asset allocation strategies, compare managed account products, construct portfolios and generate research reports on specific managers, products and strategies. Furthermore, you can produce compelling, comprehensive reports and personalized proposals to share with clients and prospects.

Get added value with investment analytics and marketing services from WealthIQ.

- **Business Growth:** Utilize this professional proposal system and powerful marketing tool to acquire new assets.
- **Efficiency:** Analyze portfolios and create customized proposals quickly and easily.
- **Customization:** Present your firm's logo on all proposals and presentations.
- **Added Value:** WealthIQ is available to active Separate Account Exchange users at no additional cost.*

Connect with an Experienced Consultant Today.

CALL 800-934-6124

VISIT tdainstitutional.com

TD Ameritrade Institutional

Access to the Separate Account Exchange program is provided solely as a service to financial advisors using the brokerage, execution and custody services of TD Ameritrade Institutional. Participating investment managers are independent and are not employees or agents of TD Ameritrade. TD Ameritrade does not guarantee nor is responsible for the quality or accuracy of any investment manager's product or service. In no instance should the listing of an investment manager be construed as a recommendation or endorsement by TD Ameritrade. Please contact the investment manager directly for the most recent information pertaining to their investment styles and management fees.

*Please be advised that TD Ameritrade Institutional reserves the right at our sole discretion to remove access to the WealthIQ tool in the event there is no activity for an extended period of time within the Separate Account Exchange program. Free access to WealthIQ is restricted to one user per firm.

TD Ameritrade and Informa Investment Solutions are separate unaffiliated companies and are not responsible for each other's policies and services.

TDAI 7768 SS 03/11